CT-guided biopsy of the mediastinal masses. Can anatomical relationships predict complications?

Petr Dvorak^a, Petr Hoffmann^a, Eva Kocova^a, Petr Habal^b, Marketa Nova^c

Aim. To retrospectively evaluate the technical features, feasibility, efficacy, accuracy and appropriate complications of CT-guided biopsies of mediastinal masses and correlate them with anatomical relationships in the mediastinum. **Methods.** Over the course of ten years, 81 percutaneous biopsy procedures in 78 patients for tumors sizes 27 - 189 mm in diameter (median length 57.5 mm) were performed using only local anesthesia. These interventions were done under the guidance of computed tomography. The patients were men in 30 cases and women in 48 cases, aged 19 to 90 years. **Results.** In 78 cases (96.3%) results were either true positive or true negative; in only 3 cases (3.7%, 3 biopsies of 2 tumors in 2 patients) were histological findings false negative. In 2.3% of the patients, complications were revealed in the anterior part of the mediastinum, 43.7% occurred in the middle region, and 14.3% in the posterior region of the mediastinum. Statistical data analysis verified there was a 3.74 times chance of an increase in complications in the middle mediastinal section in correlation with other anatomical localizations. In only one case was therapeutic intervention for complication necessary. For all other cases, a conservative approach was suitable.

Conclusion. Percutaneous CT guided biopsies of the mediastinal tumors has a high accuracy rate in establishing the correct diagnosis. Masses in the middle mediastinum are associated with statistically higher incidences of postprocedural complications, which are not serious.

Key words: percutaneous biopsy, mediastinal tumors, minimally invasive diagnosis, biopsy complications

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^aDepartment of Radiology, University Hospital, Hradec Kralove, Czech Republic

^bDepartment of Cardiac and Thoracic Surgery, University Hospital, Hradec Kralove, Czech Republic

'The Fingerland Department of Pathology, University Hospital, Hradec Kralove, Czech Republic

Corresponding author: Petr Hoffmann, e-mail: petr.hoffmann@fnhk.cz

INTRODUCTION

Mediastinal tumors are uncommon, but they form about 90% of all mediastinal diseases. The manifestation depends on mechanical pressure on the particular structures in various anatomical parts of the mediastinum. The diseases in the posterior area cause in most cases dysphagia, the middle region cause a high amount of dyspnea, and there is a significant compression of large vascular structures in the anterior area of the mediastinum¹. The type of neoplasm is characteristic for particular anatomical localization². The type and manifestation also depends on age^{3,4}.

For establishing the diagnosis of a mediastinal process there are several options. For obtaining tissue samples for histological analysis, the following surgical approaches – thoracoscopy (including video-assisted thoracoscopic surgery – VATS), mediastinoscopy, or mediastinotomy can be used. Endoscopic transbronchial or transesophageal techniques with or without ultrasound guidance are other diagnostic possibilities. On the other hand, all these procedures are heavily demanding for the patients, who are not often in a clinically satisfactory status. Percutaneous approaches and detailed imaging guidance can be a more suitable option for the patients while simultaneously aiding with higher diagnostic accuracy.

MATERIALS AND METHODS

Over the course of ten years, from August 2007 to August 2017, a group of 81 interventional procedures was retrospectively evaluated. This group included 81 biopsies performed on 78 patients. The patients were men in 30 cases (38.4%) and women in 48 cases (61.6%), aged 19 to 90 years (median 58 years of age). The percutaneous mediastinal biopsies were performed for tumors ranging in sizes of 27 - 189 mm in longest diameter (median length 57.5 millimeters). All of these interventional procedures were performed under CT guidance, using the 128-slice CT device Siemens Somatom Definition AS Plus (Siemens, Forchheim, Germany). All the patients were indicated on the basis of the multidisciplinary council (radiologist, pneumologist, thoracic surgeon, and in potentially risky cases also an anesthesiologist). Emphasis was put on patients' clinical status, expected diagnostic benefit, preprocedural preparation, technical aspects of the whole procedure, possible complications, and their prevention and evaluation of the success rate.

Fully informed consent was obtained in all cases by the biopsy performing physician with an explanation of the procedure principles, the disease, possible complications, and their eventual solutions. The procedures were performed using Core Semi-Automatic Biopsy System (Bard Magnum Instrument, Bard, Covington, Georgia, USA). In all cases we used a one step approach; the coaxial technique was never performed.

The proper procedure was planned according to a preprocedural imaging examination. The shortest and safest needle distance to reach the lesion was determined. The needle track was planned to also avoid vessels - internal thoracic vessels in the anterior mediastinum, the thoracic aorta and it's branches in the middle mediastinum, and intercostal vessels in the posterior mediastinum. The entry point was defined by placing a skin mark. The distance and biopsy needle angle were measured on the basis of local anesthesia needle short CT imaging. Skin was disinfected and covered with sterile drapes exposing only the entry site. In accordance with the predetermined route, a 16 G / 18 G of 10 cm / 13 cm / 16 cm length with 15 mm / 22 mm throw needle was inserted in the proper position into the lesion. The length of the needle was selected according to the skin-lesion distance and the throw was selected on the basis of the biopted process size in the needle direction. The 18 G needle was preferred for insertions affecting pulmonary tissue; in other cases, a 16 G was suitable. The number of punctures depended on the amount of biopted tissue. The experienced physician performing the biopsy had to be satisfied with it. After obtaining the sample, the needle was carefully removed from the patient's body. The material was put into a sterile 36 - 38% formaldehyde solution and the transport system.

All procedures were made using only local anesthesia (Trimecaine, Zentiva, Prague, Czech Republic); conscious sedation was never needed. Parameters of blood coagulation, International Normalized Ratio (INR, lower than 1.5) and activated Partial Thromboplastin Time (aPTT, lower than 1.3) were noted before the biopsy. After the intervention we performed a series of CT scans to exclude the possibility of early complications, such as pneumothorax or hemorrhage. The point of insertion into the skin was cleaned with surgical scrub. The duration of the whole procedure including all preparations never exceeded 30 minutes. The patients were monitored for the remainder of the day by the standard department of pneumology or thoracic surgery. The next morning they were discharged from the hospital after clinical, laboratory, and X-ray examinations.

Only one patient was not discharged from the hospital the next morning. In this case, pneumothorax was revealed after the anterior mediastinal mass biopsy. The patient was short of breath and his clinical status became worse. The chest X-ray examination confirmed progressing pneumothorax and thoracic drainage had to be performed. The chest was drained for four days and the patient stayed in the hospital for a total of five days.

According to the tumor localization in particular mediastinal parts – anterior, middle and posterior – the patients' position on CT table had to be elected. A supine position was suitable for the interventions in the anterior part of mediastinum. For the biopsies in the posterior part of mediastinum, the patients' prone right or left side was appropriately positioned. The approach regarding

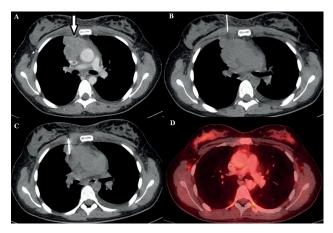


Fig. 1. The biopsy procedure. Vascularized tumor in the right side of anterior mediastinum on contrast medium enhanced CT. (A, internal thoracic vessels are marked with the arrow). The placement of the local anesthesia needle (B) and the biopsy needle (C, patient in supine position). The histological result was diffuse large B-cell lymphoma (DLBCL). No residual tumorous tissue was revealed on PET/CT after 6 months (D).

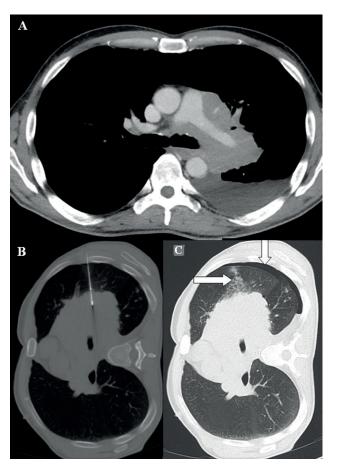


Fig. 2. The biopsy of mass in the middle part of the mediastinum. Vascularized mass containing large mediastinal vessels on contrast medium enhanced CT (A) and the placement of the biopsy needle (B, patient in right side position). CT scan straight after procedure reveals small pneumotorax and pulmonary hemorhage in biopsy needle canal (C, arrows). The biopsy was performed after non-diagnostic transbronchial examination. The 18 G needle with obtaining of one tumorous tissue sample was used. The histological result was mantle cell lymphoma.

Table 1.

	Mediastinum			
Diagnosis	Anterior	Middle	Posterior	
DLBCL	7	5	6	
Hodgkin lymphoma	8	0	0	
Mantle cell lymphoma	0	2	1	
Marginal zone lymphoma	1	0	0	
Follicular lymphoma	0	0	1	
CLL	0	1	1	
Plasma cell leukemia	1	0	1	
Thymoma	10	0	0	
Thymic carcinoma	1	0	0	
Thymic T-lymphoma	2	0	0	
Plasmacytoma	0	0	2	
IgG4 associated disease	0	0	1	
Solitary fibrous tumor	0	0	1	
Synovial sarcoma	1	0	0	
Myelosarcoma	0	0	1	
Neurinoma	0	0	3	
Mixed germinal cell tumor	1	0	0	
Myxoid chondrosarcoma	1	0	0	
PNET	1	0	0	
Metastatic RCC	0	2	0	
Metastatic breast carcinoma	1	0	0	
Metastatic NSCLC	0	2	0	
Dedifferentiated carcinoma	1	0	1	
No malignant cells verified	8	4	2	
No. of biopsies	44	16	21	

DLBCL, Diffuse Large B-Cell Lymphoma CLL, Chronic Lymphocytic Lymphoma PNET, Primitive Neuroectodermal Tumor RCC, Renal Cell Carcinoma

NSCLC, Non Small Cell Lung Carcinoma

Table 2. The incidence of complications in particular parts of the mediastinum.

Complication	Anterior	Middle	Posterior
Pneumothorax	0	2	0
Pulmonary hemorrhage	0	3	0
Pulmonary hemorrhage and pneumothorax	0	2	1
Drainage	1	0	0
None	43	9	20
No. of biopsies	44	16	21

the middle mediastinal processes was different. In almost every case a percutaneous approach was chosen in the second step in addition to a non-diagnostic endoscopic transbronchial or transesophageal attempt.

Monitored parameters were age, gender, size of the tumor in the longest diameter, localization of the process (anterior, middle and posterior mediastinum), biopsy needle gauge, number of bioptic attempts, known or unknown preprocedural tumorous diseases in the patients' history, complications and their solutions, final histological diagnosis, and correlations with results of selected therapy.

In surgically treated patients, histological results were correlated after the biopsy and after the operation approach. In conservatively treated patients (where the vast majority of diagnoses were lymphomas) were biopsy results correlated with the findings of the bone marrow evaluation and with the results of the targetted treatment. It was considered a success with the permission between histological results of the biopsy and the surgical resection. In the cases where the conservative treatment was selected, it was considered identical to findings of biopsy results and bone marrow evaluations, or to tumorous tissue amounts dissapearing completely on follow-up imaging examinations (Fig. 1). For the following-up we used CT (equal CT device) or PET/CT Discovery VCT

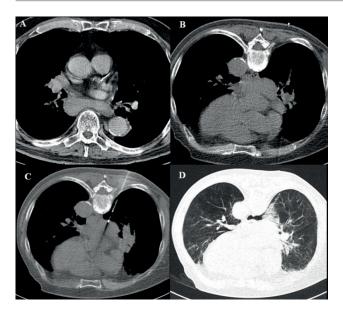


Fig. 3. The biopsy of mass in posterior part of the mediastinum. Vascularized tumor in the prevertebral space in posterior mediastinum (A, patient in the supine position). The placement of the skin mark (B, patient in prone position). The placement of the biopsy needle with the direction affecting pulmonary tissue (C, patient in prone position). Control CT scan straight after procedure revealed small pneumotorax and pulmonary hemorhage in biopsy needle canal (D). The histological result was non-caseating granulomatous inflammatory process and then was sarcoidosis verified.

64 (General Electric Healthcare, Milwaukee, Wisconsin, USA).

If there was a negative histological result and the suspicion of a present tumorous process persisted, the next procedure of the biopsy was performed. The procedure was not much different from the initial intervention; it only focused exactly on the preprocedural localization of the most viable tumorous tissue.

For the basic quantitative statistical evaluation, median and interval data were used. These parameters were correlated with complications, number of bioptic attempts, needle gauge, and localization in the mediastinum using Fisher's exact tests with contingency tables. Qualitative statistical data was descriptively evaluated and quantitative parameters were found using multivariate logistic regression, calculating the Odds ratio. The statistical importance was established at level *P*=0.05. The statistical program NCSS 11 (NCSS, LLC, East Kaysville, Utah, USA) was used.

RESULTS

A total of 81 interventional procedures where histological results were accessible were included in the study. 81 biopsies were performed in 78 patients. Overall, in only 3 cases (3 biopsies of 2 tumors in 2 patients) were histological results false negative (3.7%). In 78 cases (96.3%) results were true positive or true negative.

The verified diagnoses and the number of cases in particular parts of the mediastinum are in Table 1. The 16 G needle was utilized in 58 biopsies (71.6%) and 18

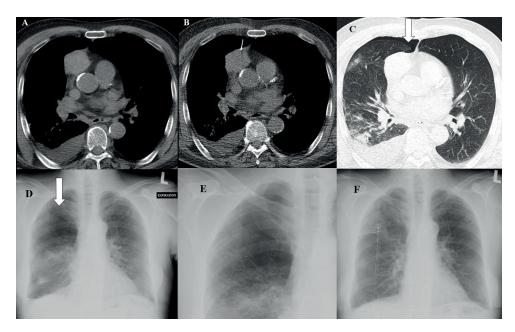


Fig. 4. The biopsy of mass in anterior part of the mediastinum. The bordered process on preprocedural unenhanced CT examination (A) and the placement of the biopsy needle (B, patient in supine position). Control CT scan straight after procedure revealed small pneumotorax (C, arrow). The control X ray examination 3 hours after the biopsy revealed progressing pneumotorax (D; E in detailed view). The chest drainage was necessary (F). The drainage for pneumotorax required the patient to stay in the hospital for 4 days. The histological result was thymoma.

G needle in 23 interventions (28.4%). The complications were revealed in 7 cases using the 16 G needle (7/58; 12.07%) and in 2 interventions using the 18 G needle (2/23; 8.69%). The Fisher's exact test proved statistically non-significant relationship between needle gauge and complication rate (P=0.80608).

Th number of bioptic attempts was variable. One sampling in 11 cases (13.6%), two samplings in 54 interventions (66.7%), three samplings in 13 biopsies (16.1%) and four samplings in 3 cases (3.6%) were performed. One attempt was determined for interventions affecting the lungs of patients with high risk of complications. Two biopsies in this group were complicated with pneumothorax; in one case, the drainage was necessary. Two punctures were sufficient in the majority of interventions. In seven cases (7/54; 12.9%) complications were revealed, but an interventional approach was never required. Three and four bioptic attempts were optimal for large masses with an emphasis on targetting various parts of processes. The complication was never revealed in this group. The Fisher's exact test did not prove statistically significant relationship between the number of punctures and complication rate (P=0.30212).

False negative results were confirmed in two patients. In one case a biopsy was repeated and the histological results showed complete necrotic tissue in both attempts. The patient underwent an operation and the final result confirmed low grade neurofibrosarcoma with necrotic components. The biopsy technique was standard, but the samples were obtained from the necrotic parts of the mass. In the second case of the anterior mediastinal mass biopsy, the histological result showed fatty tissue with fibrotic parts; no malignant cells were verified. Subsequently, mediastinoscopy was performed and Hodgkin's lymphoma was confirmed.

All true positive results were considered as the final diagnosis and deemed sufficient for treatment. Lymphomas and leukemias were treated by hematooncologists with targetted systemic medication. The verification of disseminated tumorous diseases was enough for the oncologists and their treatment. Other diagnoses (thymic tumors and neurinomas) were treated surgically in the thoracic department of our hospital. The histological results of resected tumors and the biopsies were compared. In systemic treatments (lymphomas) the success was intensively observed within the follow-up examinations.

In the true negative results, a long-term follow-up was performed. In the anterior part of the mediastinum the benign results showed ectopic thyroid tissue in two cases and fibrous scar-like tissue in four cases. All these patients had known malignant diseases marked in their clinical history (DLBCL in three cases and Hodgkin's lymphoma in one case). Their follow-up examinations verified a significant amount decrease in a period of more than three years after the intervention. In one case diagnosis was confirmed by surgical resection. In the middle part of the mediastinum, extramedullary hematopoiesis was diagnosed in one case and fibrotic tissue in a second case. This diagnosis was confirmed by an autopsy. In the posterior part of the mediastinum, a granulomatous inflam-

matory process and sarcoidosis were verified in one case and fibroproductive pleuritis was diagnosed in another.

The complications of the biopsies were observed and divided into groups: pneumotorax, pulmonary hemorrhage, both pulmonary hemorrhage and pneumotorax, pneumotorax requiring drainage, and none, and correlated with the number of bioptic attempts, needle gauge, and anatomical part of the mediastinum (Table 2). However, the anatomical mass localization in the middle part of the mediastinum has a statistically significant higher incidence of complications.

In the anterior part of the mediastinum complications were revealed in only one case of 44 interventions (2.3%), 7 cases of 16 biopsies in the middle area (43.7%), and in the posterior area 3 cases of 21 interventions (14.3%) (Fig. 2 and 3). The multivariate logistic regression determined the Odds ratio to be 3.74. The complications of the biopsies in this group occur 3.74 times more often in the middle mediastinal part in correlation with other anatomical localizations. Nevertheless, in only one case was therapeutic intervention – chest drainage – necessary (Fig. 4). This drainage for pneumothorax required the patient to stay in the hospital for 4 days, with an additional fifth day for recovery. For other complications a conservative approach was suitable.

DISCUSSION

To obtain a relevant tumorous sample from the soft tissue mediastinal mass we can use different diagnostic approaches. All these techniques have clear advantages, but there are also limitations. The goal was to present the experiences and results as well as find a role for the percutaneous approach using CT-guidance and only local anesthesia. A correct combination of diagnostic methods should be used in different situations for establishing the final diagnosis.

The pathological tumorous process stays in the mediastinum, which is the localization of various medical specializations: pneumology, gastroenterology, thoracic surgery, and in addition to all cases, imaging methods. All specialties use their own methods and guidance - endobronchial ultrasonography (EBUS), transesophageal biopsy, or surgical approaches (mediastinoscopy, mediastinotomy, and thoracoscopy including VATS). The limitations are clear. The transesophageal approach (EUS-FNA, endoscopic ultrasound - fine needle aspiration) can reach diseases of the posterior mediastinum, including the lower and left paratracheal localization of the middle mediastinum⁵. The transbronchial approach (EBUS-TBNA, endobronchial ultrasound - transbronchial needle aspiration) can reach pretracheal and left paratracheal spaces in the middle mediastinum. These types of intervention are used in the staging diagnosis of lung cancer, but are not widely used in the primary mediastinal mass assessment⁶. Endoscopic and transbronchial techniques are also demanding and should be performed by an experienced physician; general anesthesia is necessary. The procedure is challenging for the patients and the quality any of obtained tissue samples

depend on the needle gauge. The obtained material is thin or fragmented, because of the aspiration technique. The diagnostic sensitivity of endoscopic and transbronchial interventions varies around 80% (ref.⁷). The combination of these approaches can increase the success rate⁸. The majority of studies are dedicated to lung cancer staging. The study of EBUS guided mediastinal lymphoma diagnosis and subtyping revealed the correct diagnosis in 67% with de novo lymphoma and 81% of patients with relapsed lymphoma⁹. The true advantage of endoscopic or transbronchial interventions is the low complication rate, but possible inconveniences can be serious^{10,11}.

The advantages of the surgical approaches are obvious. The procedure uses a direct approach to the mediastinum, obtaining a sample is visibly controlled, and the patient's cooperation is optimal due to general anesthesia. On the other hand, the procedure is a proper operating performance, and thus contain appropriate risks and complications. Among presented diagnostic methods they are mostly challenging for the patients. The mediastinoscopy can reach upper retrosternal space, the trachea, and surrounding and subcarinal regions using the cervical approach¹². Modifications such as parasternal mediastinoscopy, or using subxiphoid approach are performed in particular cases. The thoracoscopy (including VATS) is a diagnostic possibility for the pleural cavity diseases. This procedure can be used in some indicated cases¹³. The complications of surgical modalities are not common, but they are serious 14-16.

Histological verification of all mediastinal masses is important for the selection of appropriate therapy. Establishing the final diagnosis should be fast and uncomplicated. The eventual delay due to diagnostic complications could cause tumorous process progression in a relatively short time with a steadily worsening prognosis.

The published results in literature are slightly different. The overall diagnostic accuracy varies from 83.6% to 97.4%. For lymphoma, diagnostic accuracy results are similar, but for lymphoma subtyping outcomes are less reliable 17-21. The complication rate was low in all studies, varrying from 3.85% to 7% (ref. 17-21). The vast majority were small pneumothoraces without need of intervention or hospitalization¹⁹. These results are in concordance with published studies. The diagnostic accuracy of our results (96.3%) is in the upper part of the before-mentioned interval. The overall complication rate (13.7%) is higher than published data, but excluding middle mediastinum mass biopsies, the complication is rate comparable (6.06%). Our access is invasive to aggressive, with excellent diagnostic accuracy and yield. Although there is a higher complication rate, it is caused due to interventions in the middle part of mediastinum.

CONCLUSION

Percutaneous CT-guided biopsy of the mediastinal masses has a substantially high diagnostic accuracy in establishing final diagnosis. The procedure's results are sufficient for all specialties' treatment. The intervention can reach all mediastinal parts using only local anesthesia. The complication rate is noticeably low, excluding middle mediastinal masses. The biopsies in the middle mediastinum are associated with statistically higher incidences of postprocedural complications, which are not serious.

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